



List of Financial Underwriters
Approved by the City Council on May 12, 2020

National Scope:

1. Barclays
2. √ Citigroup Global Markets, Inc.
3. Goldman Sachs & Co., L.L.C.
4. J.P. Morgan
5. Jefferies, L.L.C.
6. √ Morgan Stanley
7. RBC Capital Markets, L.L.C.
8. √ Stifel Nicolaus & Co. Inc.
9. √ UBS Financial Services, Inc.
10. √ Wells Fargo Bank N.A. Municipal Finance Group

Regional Scope:

1. FHN Financial Capital Markets
2. √ Frost Bank
3. Hilltop Securities, Inc.
4. Huntington Securities, Inc.
5. √ M.E. Allison & Co., Inc.
6. Mesirow Financial, Inc.
7. Piper Sandler & Co.
8. √ Raymond James & Associates, Inc.
9. SAMCO Capital Markets, Inc.
10. Stephens Inc.
11. UMB Bank, N.A.

Minority Status:

1. Blaylock Van L.L.C.
2. Drexel Hamilton, L.L.C.
3. Loop Capital Markets, L.L.C.
4. Ramirez & Co., Inc.
5. Siebert Williams Shank & Co., L.L.C.

Additional Firms Added:

1. RW Baird

√ - Indicates retail branches in Corpus Christi