

RFP No. 1306 - Financial Advisor Services
Kim Baker

Proposal Evaluation	Samco Capital Markets	PFM**7	RBC Capital Markets	TKG & Associates	FirstSouthwest	M.E. Allison & Co.	Estrada Hinojosa Investment Bankers
Minimum Qualifications	Pass	FAIL	Pass	Pass	Pass	Pass	Pass
Licensing/Registration	Pass	Fail *1	Pass	Pass	Pass	Pass	Pass
Required 5 years in Business	Pass	Pass	Pass	Pass	Pass	Pass	Pass
No outstanding lawsuits/litigation with City during last 5 years	Pass	Pass	Pass *3	Pass	Pass	Pass	Pass
No outstanding regulatory issues last 5 years, No outstanding FINRA, SEC issues last 3 years	Pass	Pass	Pass *4	Pass	Pass *5	Pass	Pass *6
References Provided for firm	Pass	Fail *2	pass	Pass	Pass	Pass	Pass
Technical Proposal							
Technical Proposal (50 points)	44.50	0.00	43.00	44.25	49.25	45.50	47.75
Experience							
Team Experience							
Understanding of Project Scope							
Interview							
Interview (30 points)	23.50	0.00	28.00	22.00	29.50	23.00	30.00
Experience							
Team Experience							
Understanding of Project Scope							
SUBTOTAL QUALIFICATIONS	68.00	0.00	71.00	66.25	78.75	68.50	77.75
Price							
Price (20 points)	9.78	0.00	8.89	20.00	17.83	19.34	14.09
Total	77.78	0.00	79.89	86.25	96.58	87.84	91.84

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*1 - Registration indicated but not provided

*2 - References Provided but not on required forms and only 4 provided out of 6 requested

*3 - Legal issues not disclosed as required

*4 - Regulatory Issues indicated by FINRA see printout non outstanding

*5 - Regulatory Issues indicated by FINRA see printout non outstanding

*6 - Regulatory Issues indicated by FINRA see printout and proposal non outstanding

**7 - Took major exceptions to contract and RFP not allowed at this stage